

APPLICATION
FOR
UNITED STATES LETTERS PATENT

TITLE: TRANSGENIC SEEDS EXPRESSING
AMYLOPULLULANASE AND USES THEREOF

APPLICANT: SU-MAY YU AND JEI-FU SHAW

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL445370421US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit January 16, 2002

Signature

Leroy Jenkins

Typed or Printed Name of Person Signing Certificate

TRANSGENIC SEEDS EXPRESSING AMYLOPULLULANASE AND USES THEREFOR

Background

Rice seeds contain abundant starch and have been commonly used in the food and beverage industries. Generally, rice seed contains 6-10% of protein and 70-80% of starch of total seed weight, and the protein and starch can be separated for processing into different products. The traditional process for separating rice protein from starch can be tedious and costly, while the use of chemicals, e.g., sodium hydroxide, acids, and surfactants, is undesirable in food production. As an alternative, an enzymatic process can produce high-maltose syrup and high-protein rice flour from milled rice (Shaw and Sheu, 1992, Biosci. Biotech. Biochem. 56:1071-1073). In this process, the rice flour is first liquefied with thermostable microbial α -amylase at high temperature and the heat-coagulated protein is separated from the soluble starch hydrolysate and recovered as high-protein rice flour. The starch hydrolysate is further treated with microbial β -amylase and debranching enzyme (isoamylase and/or pullulanase) to produce high-maltose syrup. The syrup can be used for food processing and alcohol beverage production. The high-protein rice flour has high nutritional value and is useful for the production of pudding, gruel, instant milk, baby food, etc.

The development of other alternative methods to facilitate utilization of cereal seed starch is desirable.

Summary of the Invention

The invention is based, in part, on the inventor's surprising discovery that a microbial amylopullulanase (APU), e.g., *Thermoanaerobacter ethanolicus* APU, e.g., a truncated *T. ethanolicus* APU, when expressed under the control of a seed specific promoter in a seed, e.g., a germinated seed, shows a specific activity several-fold higher than when expressed in *E. coli*. Thus, a system has been developed and is described herein, whereby *T. ethanolicus* APU, e.g., a truncated *T. ethanolicus* APU, e.g., a *T. ethanolicus* APU lacking amino acids 1-105 and 1061-1481 of the mature APU (SEQ ID NO:1), is expressed in a seed (e.g., a rice seed), thereby producing a seed with an altered starch or protein content. Such seeds can be used in the

production of plant starches or sugars beneficial to numerous industries, including the cereal and beverage production industries.

When a *T. ethanolicus* APU sequence is said to be free of amino acids 1-105 and 1061-1481 of SEQ ID NO:1, it means that the APU sequence does not contain the complete sequence defined by amino acids 1-105 and 1061-1481 of SEQ ID NO:1. Thus, the APU sequence can contain a portion of amino acids 1-105 and 1061-1481 of SEQ ID NO:1 and still be considered free of amino acids 1-105 and 1061-1481 of SEQ ID NO:1. Suitable truncated APU sequences for use in the constructs described herein can even contain all but one, 25, 50, 100, 150, 200, 300, 400, 500, or more amino acids defined by the sequences of 1-105 and 1061-1481 of SEQ ID NO:1 and still be considered free of SEQ ID NO:1.

Accordingly, in one aspect, the present invention features a DNA construct that includes a nucleotide sequence encoding a microbial amylopullulanase or a fragment thereof having pullulanase and α -amylase activities, operably linked to a seed-specific promoter. The microbial amylopullulanase can be *T. ethanolicus* Amylopullulanase, e.g., *T. ethanolicus* 39E Amylopullulanase. In one aspect, a truncated *T. ethanolicus* 39E Amylopullulanase that retains both α -amylase and pullulanase activities is used, e.g., the construct includes a nucleotide sequence encoding a truncated *T. ethanolicus* 39E Amylopullulanase that is free of amino acids 1-105 and 1061-1481 of SEQ ID NO:1. The construct can also include a sequence encoding a signal peptide, e.g., a glutelin signal peptide, upstream of the Amylopullulanase coding sequence. In addition, the construct can include a 3' gene terminator sequence, e.g., a nopaline synthase gene terminator sequence. The seed specific promoter of the construct can be any plant promoter that is expressed in seeds, preferably in germinating or developing seeds. Exemplary seed specific promoters include a glutelin promoter, e.g., the *GluB* promoter and an α -Amy promoter, e.g., α -Amy3 or α -Amy8 promoters.

In another aspect, the invention features a genetically engineered seed, e.g., a rice, corn, wheat, or barley seed, that includes a DNA construct having a nucleotide sequence encoding a microbial amylopullulanase enzyme or a fragment thereof having pullulanase and α -amylase activities, operably linked to a seed-specific promoter, e.g., a DNA construct described hereinabove. Such seeds can have a modified starch structure or content, including reduced amylose content or altered total starch composition compared to naturally occurring seeds. Such seeds can thus be the source of sugars and high protein seed products.

In yet another aspect, the invention features a method of producing a starch having a modified structure. The method includes the steps of: (a) transforming a plant cell with a DNA construct that includes a nucleotide sequence encoding a microbial amylopullulanase or a fragment thereof having pullulanase and α -amylase activities, operably linked to a seed-specific promoter, e.g., a DNA construct described hereinabove; (b) generating a whole plant from the transformed plant cell; (c) optionally multiplying the whole plant; (d) harvesting seeds from the whole plant or multiplied whole plants; and (e) extracting the starch from the seeds. The seed can be a rice, corn, wheat, or barley seed. In a preferred embodiment, the seed is a rice seed.

In another aspect, the invention features a method of producing a sugar. The method includes: (a) transforming a plant cell with a DNA construct comprising a seed specific promoter operatively linked to a nucleotide sequence encoding a microbial amylopullulanase or a fragment thereof having pullulanase and α -amylase activities, e.g., a DNA construct described herein; (b) generating a whole plant from the transformed plant cell; (c) optionally multiplying the whole plant; (d) harvesting seeds from the whole plant or multiplied whole plants; and (e) treating the seeds, or starch extracted from the seeds, under conditions sufficient to convert the starch in the seeds or the starch extracted from the seeds, to sugar. In one embodiment, the seed is a rice seed. An exemplary manner of treating the seeds, or starch extracted from the seeds, includes heating the seeds, or starch extracted from the seeds, until the starch turns to sugar. For example, the seeds or starch can be heated to between about 60 to 95°C, e.g., at least about 60°C, 70°C, 75°C, 80°C, 85°C, 90°C, 95°C.

In yet another aspect, the invention features a method of making a polypeptide. The method includes: providing a nucleic acid construct that includes a glutelin promoter, e.g., a *GluB* promoter, operatively linked to a nucleic acid sequence encoding a heterologous polypeptide, e.g., an enzyme or functional fragment thereof, e.g., a bacterial enzyme or functional fragment thereof; introducing the nucleic acid construct into a cell, e.g. a plant cell, e.g., a rice cell; and allowing the cell to express the polypeptide encoded by the coding sequence. The sequence encoding the heterologous polypeptide optionally includes a signal sequence, e.g., a glutelin signal sequence. The cell can be a tissue culture cell. In one embodiment, the cell is a seed cell and the polypeptide is expressed in the endosperm of a germinating seed. In another embodiment, the cell is a seed cell and the polypeptide is expressed in the embryo of a

developing seed. In another embodiment, the cell is a tissue culture cell and the polypeptide is secreted into the culture medium of the cell.

A "DNA construct" is defined herein as a DNA molecule that has been modified to contain segments of DNA that are combined and juxtaposed in a manner that would not otherwise exist in nature. The term encompasses plasmid and viral constructs.

Description of the Drawings

Figure 1 is a depiction of expression cassettes for rice transformation: (A) pGApu contains the GluB-1 promoter fused upstream of the Apu cDNA and Nos 3' downstream of the Apu cDNA; (B) pGpApu contains the GluB-1 promoter and signal peptide sequence fused upstream of the Apu cDNA and Nos 3' downstream of the Apu cDNA; (C) pA3Apu contains the α Amy3 promoter and signal peptide sequence fused upstream of the Apu cDNA and α Amy3 3' downstream of the Apu cDNA; (D) pA8Apu contains the α Amy8 promoter and signal peptide sequence fused upstream of the Apu cDNA and α Amy8 3' downstream of the Apu cDNA.

Detailed Description of the Invention

Constructs, seeds and related methods are described herein that use a transgenic approach in the production of cereal seed starch. Cereal seeds such as rice seeds, e.g., developing or germinated rice seeds, can be engineered for expression of microbial APU under control of seed-specific promoters. For example, *T. ethanolicus* APU is expressed in developing seeds under the control of the glutelin gene (*GluB-1*) promoter, and in germinated seeds under the control of two α -amylase gene promoters (α Amy3 and α Amy8). A 2.9-kb DNA fragment of *T. ethanolicus* 39E Apu gene encoding a truncated form of APU can be used in the constructs described herein. The truncated APU maintains both α -amylase and pullulanase activities.

Amylopullulanase (APU) from *Thermoanaerobacter ethanolicus* 39E, harboring both pullulanase and α -amylase activities, is capable of hydrolyzing both α -1,4 and α -1,6 bonds of polysaccharides and is heat stable with a catalytic optimum of 90°C. (Saha et al. (1988) Biochem. J. 252:343-348). The results described herein show that, unexpectedly, under the control of *GluB-1* promoter, truncated APU was expressed in embryo of developing seeds and in cultured rice suspension cells provided with sucrose. Under the control of α Amy3 or α Amy8

promoter, APU was also expressed in embryo and endosperm of developing seeds. The specific activity of truncated APU expressed in germinated seeds was several-fold higher than that expressed in *E. coli*. Amylose content was generally reduced, and the reduction correlates inversely with the APU level in transgenic rice seeds. Starch in rice seeds expressing truncated APU was completely converted to sugars, e.g., within 8 hr when heated at 70 °C or within 4 h when heated at 85°C. The data described herein demonstrate that one can obtain APU-containing rice seeds by expressing a microbial enzyme under the control of seed-specific promoters in transgenic seeds, e.g., rice seeds. The data described herein also demonstrate an approach to alter amylose content and rapidly liquefy starch in rice seeds, which offers to starch processing and beverage industries the opportunity of producing inexpensive products from plant starch. The use of the transgenic seeds, e.g., rice seeds, containing the dual active APU can facilitate the simultaneous liquefaction and saccharification of starch at high temperature without the need to add exogenous α -amylase and pullulanase.

The amino acid sequence of *T. ethanolicus* APU (Genbank Accession No. A47341) is shown below.

```

MFKRRTLGLFL LSFLLIYTAV FGSMVPVQFAK AETDTAPAIA NVVGDFQSKI GDSDWNINSD
KVTMTYKNGG FYEFTTPVAL PAGDYEYKVA LNHSWEGGGV PSQGNLSLHL DSDSVVTFYY
NYNTSSVTDS TKYTPIPEEK LPRIVGTIQS AIGAGDDWKP ETSTAIMRDY KFNNVYEYTA
NVPKRYEYEFK VTLGPSWDIN YGLNGEQNGP NIPLNAVAYDT KITFYYDSVS HNIWTDYNPP
LTGPDNNIYY DDLKHDTHDP FFRFAFGAIK TGDTVTLRIQ AKNHDLES AK ISYWD DIKKT
RTEVPMYKIG QSPDGQYEW EVKLSFDYPT RIWYF ILKD GKTAYYGDN DEQLGGVGKA
TDTVNKDFEL TVYDKNL DTP DWMKGAVMYQ IFPDRFYNGD PLNDR LKEYS RGFDPVEYHD
DWYDLPDNP N DKDKPGYTGD GIWNNDFFGG DLQGINDKLD YLKNLGISVI YLNPIFQSPS
NHRYD TTDYT KIDELLGDL D TFKTLMKEAH ARGIKVILDG VFNHTSDDSI YFDRY GK YLD
NELGAYQAWK QGDQSKSPYG DWYEIKPDGT YEGWWGFDSL PVIRQINGSE YNVKSWADFI
INNPNAISKY WLNPDGDKDA GADGWRLDVA NEIAHDFWVH FRAAINTVKP NAPMIAELWG
DASLDLLGDS FNSVMNYLFR NAVIDFILD K QFDDGNVVHN PIDAAKLDQR LMSIYERYPL
PVFYSTMNLL GSHDTMRILT VFGYNSANEN QNSQEAKDLA VKRLKLAAIL QMGYPGMPSI
YYGDEAGQSG GKDPDNRRTF SWGREDKDLQ DFFKKVVNIR NENQVLKTGD LETLYANGDV
YAFGRRIING KDVFGNSYPD SVAIVVINKG EAKSVQIDTT KFVRDGVAF T DALSGKTYTV
RDGQIVVEVV ALDGAILISD PGQNLTAPQP ITDLKAVSGN GQVDLSWSAV DRAVSYNIYR
STVKGGLYEK IASNV TQITY IDTDVTNGLK YVYSVTAVDS DGNESALSNE VEAYPAFSIG
WAGNMNQVDT HVIGVNNPVE VYAEIWA EGL TDKPGQGENM IAQLGYRYIG DGGQDATR NK
VEGVEINKDW TWVDARYVGD SGNNDKYMAK FVPDMVGTWE YIMRFSSNQG QDWTYTKGPD
GKTDEAKQFI VVPSNDVEPP TALGLQQPGI ESSRVTLNWS LSTDNVAIYG YEIYKSLSET
GPFVKIATVA DTVYNYVDTD VVNGKVYYYK VVAVDTSFNR TASNIVKATP DIIPIKVIFN
VTVPDYTPDD GANIAGNFHD AFWNPSAHQM TKTGPNTYSI TLT LNEGTQL EYKYARGSWD
KVEKGEYGEE IANRKITVVN QGSNTMVVND TVQRWRDLPI YIYSPKDNTT VDANTNEIEI
KGNTYKGAKV TINDES FVQQ ENGVFTKVVP LEYGVNTTKI HVEPSGDKN N ELTKDITITV
IREEPVQEKE PTPTPESEPA PMPEPQPTPT PEPQPSAIMA L (SEQ ID NO:1)

```

Shown below is the nucleotide sequence of the approximately 2.9-kb DNA fragment of *Apu* gene that encodes amino acids 106 to 1060 of the mature APU of *T. ethanolicus*.

TTAAGCTTGCATCTTGATTTCAGATTCTGTAGTAACCTTTTATTACAACCTATAACTTCAAGTGTTACTGA
TTCACAAAATATACACCAATTCGGAAGAAAACTTCCAAGAATTGTAGGTACTATACAATCAGCAATAGG
AGCAGGTGATGATTGGAAACCTGAAACATCGACAGCTATAATGAGAGACTATAAGTTTAAACAATGTTTACG
AATACACTGCAAATGTTCCAAAAGGTATTATGAGTTTAAAGTAACTTTAGGGCCCTCATGGGATATAAAT
TATGGCTTAAATGGTGAACAAAATGGTCCAAATATTCCTTTGAATGTAGCCTATGATACTAAGATTACATT
TTACTATGATTTCGGTTTACATAATATATGGACAGATTACAATCCACCTCTCACAGGGCCTGATAATAACA
TATATTATGACGATTTAAAACATGACACCCATGACCCATTCTTCCGCTTCGCTTTCGGTGCAATAAAAACA
GGTGATACAGTGACTTTGAGGATACAGGCTAAAAATCATGACCTTGAGTCAGCTAAAATTTCTTATTGGGA
TGATATTAAAAAACAAGAACAGAAGTCCCGATGTATAAAATTTGGTCAAAGTCCTGACGGGCAATATGAAT
ACTGGGAAGTGAAGTTAAGCTTTGACTATCCACAAGAATTTGGTATTACTTTATACTTAAAGACGGGACA
AAAAGTCTTATTACGGAGATAACGATGAACAATTAGGTGGAGTAGGTAAAGCCACAGATACGGTAAATAA
AGACTTTGAACCTTACTGTATACGATAAAAAATTTAGACACCCCTGATTGGATGAAAGGGGCAGTAATGTATC
AAATATTCCCAGATAGATTTTACAATGGTGACCCTTTAAATGACCGCCTAAAGGAATACAGTAGAGGTTTT
GATCCTGTTGAATATCATGACGACTGGTATGACCTTCCCGACAATCCGAATGATAAAGATAAACCTGGATA
TACAGGGGATGGTATATGGAATAATGACTTCTTTGGTGGTGATTACAAGGTATAAATGATAAATTGGATT
ATCTAAAAAACCTTGAATATCAGTTATTTATCTCAATCCAATTTTCCAATCACCTTCCAATCACCGATAT
GATACAACCGATTACACAAAGATAGACGAGTTATTGGGAGATTTAGATACATTTAAAACACTTATGAAAGA
AGCCCATGCAAGAGGAATTAAAGTAATACTTGATGGCGTCTTCAATCATACAAGTGATGATAGTATTTATT
TTGATAGATACGGGAAGTACTTGGATAATGAATTAGGTGCTTATCAAGCCTGGAAACAGGGAGATCAGTCA
AAATCTCCATACGGTGACTGGTACGAAATTAAGCCTGACGGTACCTATGAGGGCTGGTGGGGATTTGACAG
CTTACCGGTAATAAGGCAGATAAACGGAAGTGAGTACAATGTAAAAAGTTGGGCAGATTTTATCATAAATA
ATCCTAATGCAATATCTAAGTATTGGTTAAATCCTGATGGGGATAAAGATGCAGGTGCAGATGGCTGGAGA
TTGGATGTTGCAAATGAAATTGCTCACGATTTCTGGGTTTATTTAGAGCTGCAATTAATACTGTGAAACC
AAATGCGCCAATGATTGCAGAACTTTGGGGAGATGCTTCATTAGATTTACTTGGAGATTCTTTTAACTCTG
TTATGAACTATCTTTTTAGAAATGCAGTTATTGATTTTATACTCGATAAACAGTTTGATGATGGAAATGTG
GTTCACAATCCTATAGATGCAGCAAACTTGACCAAAGGCTTATGAGCATATATGAGAGATATCCTCTTCC
AGTATTTTATTCTACTATGAACCTTTTAGGTTCTCATGACACCATGAGAATATTGACAGTATTTGGATATA
ACTCTGCTAATGAAAATCAAAATCTCAAGAGGCGAAAGACCTTGACGTTAAGAGGCTTAACTTGCCGCA
ATATTGCAAATGGGCTATCCGGGAATGCCTTCTATTTACTATGGTGACGAGGCAGGACAATCTGGTGGA
AGACCCAGATAACAGGAGAACATTCTCTTGGGGAAGAGAAGATAAAGATCTGCAGGATTTCTTTAAGAAAG
TCGTAAACATAAGGAATGAAAATCAAGTTTAAAAACAGGAGACCTTGAAACACTTTATGCAAATGGCGAT
GTTTATGCCTTTTGAAGAAGAATTATAAATGGAAAAGATGTATTTGGTAATCTTATCCTGACAGTGTAGC
TATTGTTGTGATTAATAAAGGTGAGGCAAAGTCAGTACAAATAGATACTACTAAATTTGTAAGAGATGGAG
TTGCTTTTACAGATGCCTTAAGTGGTAAGACATACACGGTTCGTGATGGACAAATTGTTGTAGAAGTTGTG
GCATTGGATGGGGCTATACTCATTTCAGATCCAGGACAGAATTTGACGGCACCTCAGCCAATAACAGACCT
TAAAGCAGTTTCAGGAAATGGTCAAGTAGACCTTTCGTGGAGTGAGTAGATAGAGCAGTAAGTTATAACA
TTTACCGCTCTACAGTCAAAGGAGGGCTATATGAAAAAATAGCTTCAAATGTTACGCAAATTACTTATATT
GATACAGATGTTACCAATGGTCTAAAGTATGTGTATTCTGTAAACGGCTGTAGATAGTGATGGAAATGAAAG
TGCTTTAAGCAATGAGTTGAGGCATATCCAGCATTTTCTATTGGTTGGGCAGGAAATATGAACCAAGTTGA
TACCCATGTAATAGGCGTAAATAATCCAGTTGAAGTTTATGCTGAAATTTGGGCAGAAGGATTAACAGATA
AACCTGGCCAAGGGGAAAATATG (SEQ ID NO:2)

The specific examples below are to be construed as merely illustrative, and not limitative of the remainder of the disclosure in any way whatsoever. Without further elaboration, it is believed that one skilled in the art can, based on the description herein, utilize the present invention to its fullest extent. All publications cited herein are hereby incorporated by reference in their entirety.

Examples

Example 1: Construction of Chimeric Genes, Transformation and Selection of Transformed Rice Cells

A 2.9-kb DNA fragment of *Apu* gene that encodes amino acids 106 to 1060 of the mature APU of *T. ethanolicus* was isolated (shown as SEQ ID NO:2). This truncated APU expressed in *E. coli* maintains both α -amylase and pullulanase activities. A DNA fragment containing the 1351-bp rice *GluB-1* promoter only or the promoter plus a 75-bp sequence encoding a putative 25-amino acid signal peptide of GluB was individually placed upstream of the coding region of *Apu* to make translational fusion constructs, and the nopaline synthase gene terminator (*Nos* 3') was placed downstream of the *Apu* coding region. The putative 25-amino acid signal peptide cleavage site was predicted based on a statistical method (von Heijne (1985) J. Mol. Biol. 184:99-105). The signal peptide sequence can be used to target APU to different cellular compartments, e.g., cytoplasm and endospermic reticulum. The chimeric DNAs were then inserted into the binary vector pSMY1H (Ho et al. (2000) Plant Physiol 122:57-66) to generate pGApu and pGpApu (Fig. 1A and Fig. 1B). The 1.1- and 1.2-kb promoter and signal peptide sequences of α Amy3 and α Amy8, respectively, were placed upstream of the coding region of *Apu* to make a translation fusion, and the 3' untranslated regions of α Amy3 and α Amy8 were placed downstream of the α Amy3-*Apu* and α Amy8-*Apu* chimeric genes, respectively. The chimeric DNAs were then inserted into pSMY1H to generate pA3Apu and pA8Apu (Fig. 1C and Fig. 1D). These plasmids were individually delivered into the rice genome via *Agrobacterium*-mediated transformation. The putative transformed rice calli were selected on medium containing hygromycin. Identification of the transformed rice cells was then confirmed with standard genomic DNA Southern blot analysis.

Example 2: APU Expression under Control of the GluB and α -Amy Promoters is Sugar-regulated in Transformed Rice Suspension Cells

The transformed rice calli were cultured in liquid MS medium to generate suspension cell culture. The culture media of cells expressing APU with signal peptides were collected and analyzed for APU accumulation. Levels of APU were significantly higher in media of transformed suspension cells than those in media of non-transformed cells. The levels of APU varied from line to line, indicating a position effect on transgene expression. Presence of APU in the culture media indicates that the putative signal sequence of GluB-1, when used, is capable of directing translocation of APU through the secretory pathway. The $\alpha Amy3$ and $\alpha Amy8$ promoters directed higher levels of APU expression in the absence of sucrose than in the presence of sucrose, which was expected as activity of $\alpha Amy3$ and $\alpha Amy8$ promoters is up-regulated by sucrose starvation (Chan et al. (1994) J. Biol. Chem. 269:17635-17641; Lu et al. (1998) J. Biol. Chem. 273:10120-10131). Interestingly, the *GluB-1* promoter directed higher level of APU expression in rice suspension cells in the presence of sucrose than in the absence of sucrose, which suggests that activity of the *GluB-1* promoter is up-regulated by sucrose in cultured rice suspension cells.

The 1.3-kb long GluB-1 promoter has been shown to direct endosperm-specific expression of a reporter gene in transgenic rice (Wu et al. (1998) Plant Cell Physiol. 39:885-889). As described herein, the same length of GluB-1 promoter is capable of directing APU expression in cultured rice suspension cells and embryo, in addition to endosperm, of developing rice seeds. In cultured rice suspension cells, the GluB-1 promoter is up-regulated by sucrose and its signal peptide sequence directs secretion of APU into the culture medium. Promoter active in cultured cells and/or up-regulated by sugars seems to be a common phenomenon for storage protein genes. For example, a promoter of another rice seed storage protein, prolamin, has been shown to be active in cultured cells. Promoters of other storage proteins, e.g., sporamin and β -amylase of sweet potato and patatin and proteinase inhibitor II of potato, have been shown to be up-regulated by sugars in leaf, stem, or tuber (Koch (1996) Annu. Rev. Plant Physiol. Plant Mol. Biol. 47:509-540). Expression of storage protein genes is most active in developing storage organs, and the developing storage organs are sink tissues for adsorption of sugars produced in source tissues, e.g., leaf. Consequently, it is reasonable for storage protein genes to be up-regulated by sugars.

It is well recognized that in cereals, α -amylase genes are mainly expressed in germinating or germinated seeds (Yu (1999) Molecular Biology of Rice. K. Shimamoto (ed.), Chapter 9. Springer-Verlag, Tokyo. pp. 161-178). In germinated rice seeds, mRNA of several α -amylase genes could be detected in embryo and endosperm (Karrer et al. (1991) Plant Mol. Biol. 16:797-805; Yu et al. (1996) Plant Mol Biol 30:1277-1289). Expression of α Amy3 and α Amy8 is transient in embryo and fluctuate in endosperm of rice seeds during a 9-day germination period (Yu et al., 1996, *supra*). In transgenic rice seeds, the α Amy7 promoter has been shown to direct reporter gene expression in both embryo and endosperm during and post germination. Activity of the α Amy7 promoter is not detected prior to germination, peaked 4 and 6 days and then decreased to low level 8 days after germination (Itoh et al. (1995) Plant Physiol. 107:25-31). In the developing seeds, the concentration of α -amylase in embryo was 20 and 60 times higher than that in endosperm and pericarp, respectively (Thévenot et al. (1992) J. Plant Physiol. 140:61-65). In the present study, it has been demonstrated that APU expressed under control of the α Amy3 and α Amy8 promoters accumulate in both embryo and endosperm of mature transgenic rice seeds and in germinated transgenic rice seeds. All these studies indicate that activity of α -amylase gene promoters is subject to repeated activation and repression during seed development and germination. Expression of α -amylase genes in germinating rice seeds can be induced by GA and suppressed by ABA or sugars (Karrer et al. (1992) Plant J. 2:517-523.; Itoh et al., 1995, *supra*; Yu et al., 1996, *supra*).

Example 3: APU Expressed under Control of the *GluB* and α Amy Promoters Accumulates in Germinated Transgenic Rice Seeds

Transformed rice calli were regenerated, self-fertilized for two generations, and T2 homozygous seeds were obtained. Homozygosity of transgenic seeds was determined by germination of 25 transgenic seeds in water containing 50 μ g/ml hygromycin for 7 days and calculation of the ratio between numbers of growing and non-growing seeds. Homozygous seeds will all germinate in the presence of hygromycin. T2 homozygous seeds of transgenic rice lines carrying different constructs were germinated and grown for 5 days. The entire germinated seeds were extracted and APU level was determined. APU expressed under the control of *GluB-1*, α Amy3 and α Amy8 promoters accumulated in germinated seeds, with levels significantly higher than that in non-transformant. Although the levels of APU varied from line to line, the

α Amy8 promoter generally confers higher levels of APU expression than the α Amy3 and *GluB-1* promoters in germinated transgenic rice seeds.

Example 4: APU Expressed under Control of the *GluB* and α Amy Promoters Accumulates in Embryo and Endosperm of Mature Transgenic Rice Seeds

Five transgenic lines carrying different constructs and accumulated high levels of APU in germinated transgenic rice seeds were selected for further analysis of APU accumulation in mature seeds. The embryos and endosperms of T2 homozygous seeds were separately collected and APU levels were determined. APU expressed under control of the *GluB-1*, α Amy3 and α Amy8 promoters accumulated in both embryos and endosperms of mature seeds, with levels significantly higher than those in non-transformed seeds. The *GluB-1* promoter appears to confer higher levels of APU expression than the α Amy3 and α Amy8 promoters in the two tissues.

Example 5: The *GluB* Promoter Directs APU Expression in Embryo and Endosperm of Developing Transgenic Rice Seeds

The *GluB-1* promoter has been reported to direct endosperm-specific expression of a reporter gene in developing rice seeds (Wu et al. (1998) Plant J. 14:673-683; Wu et al. (1998) Plant Cell Physiol. 39:885-889). In the present study, APU expressed under control of the *GluB-1* promoter was detected in germinated seed and embryo, in addition to endosperm, of mature seeds. APU present in the embryo of mature seeds must accumulate during seed development. Consequently, activity of *GluB-1* promoter in germinated seed and embryo of developing seeds was further investigated. Mature transgenic rice seeds carrying the *GluB-Apu* construct were germinated for 1, 3, and 5 days. Developing transgenic rice seeds carrying the *GluB-Apu* construct were also collected at 5, 10, 15, and 25 days after pollination (DAP). *In situ* hybridization technique was applied for detection of the *Apu* mRNA present in tissues. The *Apu* mRNA was detected neither in endosperm nor in embryo of germinated rice seeds. However, the *Apu* mRNA was detected in embryo and endosperm of rice seeds of different developing stages. The *Apu* mRNA accumulated throughout all the tissues of endosperm and embryo of transgenic rice seeds of 10 and 15 DAP. In embryo, accumulation of the *Apu* mRNA was significantly higher in shoot apex, primary leaf, and coleoptile than in scutellum.

Immunohistochemistry technique using the anti-APU antibodies was also applied for detection of APU present in tissues. Similarly, APU accumulated throughout all the tissues of endosperm and embryo of transgenic rice seeds of 15 DAP. In embryo, accumulation of APU was significantly higher in coleoptile than in other tissues. This example demonstrate that the *GluB-1* promoter is not active in embryo and endosperm of germinated rice seeds but is active in embryo and endosperm of developing rice seeds.

Example 6: APU Expressed in Germinated Transgenic Rice Seeds Has High Specific Activity

To determine whether APU expressed in germinated transgenic rice seeds is active, T2 homozygous seeds of transgenic rice carrying different constructs were germinated and grown for 5 days. Cell extract of the entire germinated seeds was prepared and APU level was determined. Cell extract was also incubated at 90 °C for 30 min and APU activity per equal amount of APU present in cell extract was determined. The *E. coli*-expressed APU was used as a control. APU expressed in all of the germinated transgenic seeds was active and unexpectedly had a specific activity 3 to 4-fold of that expressed in *E. coli*.

While the authors do not wish to be bound by theory, there could be several reasons for this unexpected result. First, there are many endogenous starch hydrolyzing enzymes present in germinated rice endosperm (Kubo et al. (1999) Plant Physiol. 121:399-409). These hydrolytic enzymes may have a synergistic effect on APU activity in germinated seeds, as APU activity was assayed in the presence of the cell extract of entire germinated seeds. Second, there are three potential glycosylation sites in the APU polypeptide. Post-translational modification of APU may have increased the specific activity of this enzyme in germinated seeds. Third, APU expressed in germinated rice seeds was folded into a conformation that gives better activity. Fourth, APU expressed in germinated rice seeds was supposed to have a molecular weight of 110 kD. It was found that large proportion of APU present in germinated rice seeds was truncated to a molecular weight of 40 kD. The truncated APU may have a higher specific activity than the 110 kD APU.

Example 7: Amylose Content Is Altered in Transgenic Rice Seeds Expressing APU

The mature seeds of the wild type rice (TNG67) used in the present study generally contain a narrow opaque white region at ventral side of endosperm. However, it was found that the majority of transgenic rice seeds expressing APU contain a much larger opaque white region extended from the ventral side toward the center of endosperm. To determine whether the enlargement of opaque white region correlates with amylose content of endosperm, the amylose content in transgenic rice seeds expressing APU was analyzed. Although varied from line to line, the amylose content was lower in randomly selected transgenic rice lines expressing APU than that in the non-transformed seeds. The amylose content in transgenic rice seeds expressing firefly luciferase (Luc) was similar as those in the non-transformed seeds.

To further determine whether alteration in amylose content is a general phenomenon for rice seeds expressing APU, amylose contents in seeds of 79 transgenic rice lines expressing APU under the control of *GluB* and α *Amy* promoters were analyzed. Among these transgenic lines, 9 lines (11 %) have higher, 6 lines (8 %) have similar, and 64 lines (81 %) have reduced amounts of amylose as compared with the non-transformed seeds. Amylose contents of the wild type rice is approximately 19 % of total seed weight, while amylose contents of majority transgenic seeds expressing APU range from 11 to 19 % of total seed weight. These results indicate that amylose content in rice seeds expressing APU is generally reduced.

Starch is composed of two different glucan chains, amylose and amylopectin. Amylose essentially is a linear polymer of glucosyl residues linked via α -1,4 glucosidic linkages, whereas amylopectin exists as a branched α -1,4; α -1,6 D-glucan polymer. Synthesis of amylose is catalysed by granule-bound starch synthase (GBSS) by addition of one molecule of glucose at a time to the linear α -1,4-glucosyl chain, whereas starch branching enzyme and soluble starch synthase introduce α -1,6 linkages between linear chains to form amylopectin (Preiss (1991) Biology and molecular biology of starch synthesis and its regulation. In: Oxford Surveys of Plant Cellular and Molecular Biology. Vol. 7., ed. Miflin, 59-114, Oxford University Press, Oxford, UK). Reduction in amylose content by expression of antisense GBSS gene have been demonstrated in transgenic potato (Visser et al. (1991) Mol. Gen. Genet. 225:289-296; Salehuzzaman et al. (1993) Plant Mol. Biol. 23:947-962; Kuipers et al. (1994) Plant Cell 6:43-52; Kuipers et al. (1995) Mol. Gen. Genet. 246:745-755) and rice (Shimada et al. (1993) Theor. Appl. Genet. 86:665-672; Terada et al. (2000) Plant Cell Physiol. 41:881-888).

APU is capable of hydrolyzing both α -1,4 and α -1,6 bonds of polysaccharide at high temperature (90 °C). It is intriguing to observe a decrease in amylose content in transgenic rice seeds expressing APU. While not wishing to be bound by theory, one explanation for this phenomenon is that APU exhibits different activity at field temperature (20-30 °C), leading to change in starch biosynthesis during seed development. Our recent study has shown that transgenic rice seeds expressing APU have normal or even slightly higher starch content compared with the non-transformed seeds. Since the amylose content is reduced, there could be an increase in amylopectin and/or phytyglycogen content in these transgenic seeds. If this is the case, it would suggest that APU may possess an undiscovered activity, e.g., starch branching activity, at different temperature. Although the effect on seed starch biosynthesis conferred by APU at field temperature is significant, yield of the transgenic rice appears to be normal.

Example 8: The APU Levels Are Inversely Correlated with the Amylose Contents in Transgenic Rice Seeds

To determine whether alteration in amylose content correlates with expression level of APU in transgenic rice seeds, transgenic rice lines with different amylose content in seeds were selected for determination of APU levels. The non-transformed seeds had low APU level but high amylose content. However, the transgenic seeds have higher APU levels and lower amylose contents than the non-transformed seeds. Additionally, in the four transgenic rice lines carrying different construct, the higher in APU levels, the lower in amylose content is observed.

These results show that the amylose content correlates inversely with APU level in rice seeds. Consequently, rice seeds contain different amounts of amylose can be obtained by selection of transgenic lines expressing different levels of APU. The modified starch would have altered physico-chemical property and may offer to starch processing industries new applications.

Example 9: Starch in Transgenic Rice Seeds Expressing APU is Completely Converted to Sugars under Heat Treatment

Seeds of a transgenic line produced as described herein was ground to rice flour, suspended in buffer, and incubated at 70 °C or 85°C for various lengths of time. Prior to heat

treatment, level of starch was 68 % of total seed weight. Starch was hydrolyzed and concentration of soluble sugar increased rapidly after heating at 70 °C for 8 h or 85 °C for 4 h. Starch disappeared completely and soluble sugars increase to a constant level (70 %). This result indicates that starch in rice seeds expressing APU can be completely converted to sugars under appropriate condition. This example thus indicates the feasibility of replacing starch degradation using microbial enzymes by a system where enzymes are produced directly in the starch-containing tissue. Such a manipulation would greatly facilitate production of syrup and high protein flour from the seed starch.

Example 10: Other Methods and Materials

Plant Material

An exemplary rice variety used in the methods and compositions described herein is *Oryza sativa* L. cv. Tainung 67. Immature seeds are dehulled, sterilized with 2.4% NaOCl for 1 h, washed extensively with sterile water, and placed on N6D agar medium (Toki (1997) Plant Mol Biol Rep 15:16-21) for callus induction. After one month, callus derived from scutella are subcultured in fresh N6D medium for transformation, or to a liquid MS medium containing 3% sucrose and 10 mM 2,4-D to establish a suspension cell culture as previously described (Yu et al. (1991) J Biol Chem 266:21131-21137).

Preparation of genomic DNA

Rice seeds are germinated and grown in the dark for, e.g., 1 week. *T. ethanolicus* 39E (ATCC53033) was obtained from the American Type Culture Collection. The bacterial and rice genomic DNA was purified from according to the method of Sheu et al. (1996, J Biol Chem 271:26998-27004).

PCR

The 1351-bp glutelin gene promoter region was PCR-amplified using rice genomic DNA as template and B1-5 (5'-GGGGAATTCGATCTCGATTTTGAGGAAT-3', EcoRI site underlined) as forward primer and B1-3 (5'-GGGGGATCCCATAGCTATTGTACTTGCT-3', BamHI site underlined) as reverse primer. The glutelin gene promoter plus 75-bp putative signal peptide sequence was PCR-amplified using rice genomic DNA as template and B1-5 as forward

Sub B1

primer and B1-sp (5'GGGGGATCCGGGATTAAATAGCTGGGCCA-3', BamHI site underlined) as reverse primer. The truncated Apu encoding amino acid 106 to 1060 was PCR-amplified using genomic DNA of *T. ethanolicus* 39E as template and oligonucleotides 5'-CGGGATTCTTAAGCTTGCATCTTGA-3' (BamHI site underlined) as forward primer and 5'-CCGGCGGCGCCTACATATTTCCCCTTGGCCA-3' (NotI site underlined) as reverse primer.

Plasmid construction

Sub B2

The PCR-amplified GluB-1 promoter and GluB-1 promoter-signal peptide sequence were digested with EcoRI and BamHI and subcloned into the same sites in pBluescript (Stratagene) to generate pBS-G and pBS-Gp. The truncated Apu was digested with BamHI and NotI and fused downstream of the GluB-1 promoter and GluB-1 promoter-signal peptide sequence in pBS-G and pBS-Gp, respectively, to make translational fusion and to generate pBS-G-Apu and pBS-Gp-Apu. The nopaline synthase gene terminator (Nos 3') was PCR-amplified using pBI221 (Clontech) as DNA template and oligonucleotide 5'-TCCGAGCTCCAGATCGTTCAAACATTT-3' (SacI site underlined) as forward primer and oligonucleotide 5'-AGCGAGCTCGATCGATCTAGTAACAT-3' (SacI underlined) site as reverse primer. The Nos 3'UTR was digested with SacI and fused downstream of Apu in pBS-G-Apu and pBS-Gp-Apu to generate pBS-G-Apu-Nos and pBS-Gp-Apu-Nos.

Sub B3

The 1.2 kb promoter and signal peptide sequence of α Amy8 was excised with SalI and HindIII from pAG8 (Chan et al., 1993, *supra*) and subcloned into pBluescript to generate pBS/8sp. The α Amy8 3'UTRs was PCR-amplified using RAMYG6a as DNA template and oligonucleotide 5'-CGCCGCGGTAGCTTTAGCTATAGCGAT-3' (SacII site underlined) as forward primer and oligonucleotide 5'-TCCCCGCGGGTCTCTAAGTGAACCGT-3' (SacII underlined) site as reverse primer. Plasmid RAMYG6a contains the 3' half portion of coding sequence and 3' flanking region of α Amy8 genomic DNA and was generated by screening of a rice genomic DNA library (Clontech) using α Amy8-C as a probe (Yu et al. (1992) Gene 122: 247-253). The α Amy8 3'UTRs was subcloned into the SacII sites in pBS/8sp to generate pBS/8sp8U. The truncated apu was cut with BamHI and NotI and subcloned into the same sites in pBS-8sp8U to generate pBS- α Amy8-sp-Apu-8U.

The 1.1-kb promoter and signal peptide sequence of α Amy3 was excised with SalI and HindIII from p3G-132II (Lu et al., 1998, *supra*) and subcloned into pBluescript to generate pBS-3sp. The α Amy3 3'UTR was excised with HindIII and SacI from pMTC37 (Chan and Yu (1998) Plant J 15:685-696) and subcloned into the same sites in pBS-3sp to generate pBS-3sp3U. The truncated Apu was digested with BamHI and NotI and subcloned into the same sites in pBS-3sp3U to generate pBS- α Amy3-sp-Apu-3U.

The correct in-frame fusion of the GluB, α Amy3, and α Amy8 signal peptide sequences with the Apu coding region, and the junction regions which link the Apu coding region with the α Amy3, α Amy8 or Nos 3'UTRs were all verified by DNA sequencing. The GluB-Apu-Nos, GluB-sp-Apu-Nos, α Amy3-sp-Apu- α Amy3 3'UTR and α Amy8-sp-Apu- α Amy8 3'UTR chimeric genes were excised from pBS-G-Apu-Nos, pBS-Gp-Apu-Nos, pBS- α Amy3-sp-Apu-3U, and pBS- α Amy8-sp-Apu-8U with SalI, blunt-ended, and inserted into the HindIII-digested and blunt-ended binary vector pSMY1H (Ho et al., 2000, *supra*) to generate, pGApu, pGpApu, pA3Apu and pA8Apu, respectively (Fig. 1).

Transformation

Plasmids pGApu, pGpApu, pA3Apu and pA8Apu, were respectively introduced into *Agrobacterium tumefaciens* strain EHA101 (Hood et al. (1986) J Bacteriol 168:1291-1301) with an electroporator (BTX) according to the manufacturer's instruction. Calli induced from immature rice seeds were co-cultured with *Agrobacterium* according to the methods described by Hiei et al. (1994, Plant J. 6:271-282) and Toki (1997, Plant Mol Biol Rep 15:16-21).

Expression of APU in E. coli and preparation of polyclonal antibodies

The truncated Apu encoding amino acids 106 to 1060 was PCR-amplified using genomic DNA of *T. ethanolicus* 39E as template and oligonucleotides 5'-CGCATATGTTAAGCTTGCATCTTGATTC-3' as forward primer and 5'-CCGCTCGAGCTACATATTTCCCCTTGGCCA-3' as reverse primer. The amplified DNA fragment was digested with NdeI and XhoI and ligated into the same sites in pET20b(+) (Novagen) to generate pET-APU. pET-APU was transferred to *E. coli* strain BL21 (DE3) and APU was expressed. Purification of APU was performed according to the instruction provided by Novagen. One hundred micrograms of purified APU was injected into a New Zealand White

SV 47
 rabbit successively at 4-6 week interval according to the methods described by Williams et al. (1995, Expression of foreign proteins in E. coli using plasmid vectors and purification of specific polyclonal antibodies, in: ~~DNA Cloning 2-Expression Systems-A Practical approach~~. (Ed) Glover and Hames, IRL Press, New York).

In situ hybridization and immunohistochemistry

Developing rice seeds were fixed in 3% paraformaldehyde and 0.25% glutaraldehyde in 0.1 N phosphate buffer (PB) (pH 7.0) for 24 h at 4° C. After dehydration in a graded ethanol series, samples were embedded in Paraplast (Oxford Labware, St. Louis, MO) and sectioned at 10 mm with a rotary microtome. Sections were applied to slide glasses treated with 3-aminopropyltricholasilane (Shinetsu Chemicals, Tokyo, Japan). A digoxigenin-labeled sense and antisense RNA probes (~ 2865 bp) was prepared from the coding region of the APU cDNA. Probes were degraded to a mean length of 200 bp by incubating in alkali at 60 °C for 43 min. In situ hybridization was performed as described in Kouchi and Hata (1993, Mol. Gen. Genet. 238:106-119). The hybridization signal was not detected when sense probe was used. Accordingly, only results obtained using the antisense probe are shown.

Tissue sections of developing rice seeds similarly prepared as described above were used for detection of APU using an immunohistochemistry method. After melting the paraffin on a hotplate, sections were dewaxed by incubation in xylene and 100-30 % ethanol series ethanol in 0.1 N PB twice (10 min each). After a final wash in 0.1N PB for 10 min, the sections were blocked with 1 % bovine serum albumin in PB for 30 min. After rinsing in PB, the tissues were incubated with the APU primary antibodies for 60 min at 25 °C and rinsed with PB. Detection of immunoreactivity was performed using the avidin-biotin-complex-method. Sections were incubated for 60 min with biotinylated goat anti-rabbit IgG (ABC-Kit, Vector Laboratories, Peterborough, UK) in PB at room temperature, extensively washed in PB for three times (10 min each), and finally incubated with the alkaline phosphatase coupled ABC (ABC-Kit, Vector Laboratories) for 30 min. After another washing with PB, alkaline phosphatase label was developed in a solution (100 mM Tris-HCl, 100 mM NaCl, 50 mM MgCl₂, pH 9.5) containing nitro-blue tetrazolium (NBT, 340 mg/ml, Boehringer Mannheim) and 5-brom-4-chloro-3-indolyl-phosphate (BCIP, 170 mg/ml, Boehringer Mannheim) for 2 h in the dark at 25 °C. Color

development was stopped by washing in water. APU was not detected when the pre-immune serum was used.

APU activity assay and enzyme-linked immunosorbent assay (ELISA)

Rice seeds or tissues were ground in liquid N₂, lysed with a buffer (90.8 mM K₂HPO₄, 9.2 mM KH₂PO₄, 10 mM EDTA, 10% glycerol, 1% Triton X-100, and 7 mM b-mercaptoethanol) and centrifuged at 15,000 xg for 10 min and supernatant was collected. APU activity was assayed as described by Mathupala et al. (1993, J. Biol. Chem. 268:16332-16344). ELISA was performed as described by Ausubel et al. (1992, Short Protocols in Molecular Biology, 2nd ed., in: A Compendium of Methods from Current Protocols in Molecular Biology, John Wiley & Sons, New York). The total protein concentration was determined using a Bio-Rad protein assay kit based on the Bradford dye-binding assay.

Determination of amylose content

Amylose content in mature seeds was determined as described by Juliano (1971, Cereal Sci. Today 16:334-338). Serial dilution of purified amylose from potato (Sigma) was used as standards. The amylose content was determined using Technicon Autoanalyzer II (Bran + Luebbe, Norderstedt, Germany).

OTHER EMBODIMENTS

All of the features disclosed in this specification may be combined in any combination. Each feature disclosed in this specification may be replaced by an alternative feature serving the same, equivalent, or similar purpose. Thus, unless expressly stated otherwise, each feature disclosed is only an example of a generic series of equivalent or similar features.

From the above description, one skilled in the art can easily ascertain the essential characteristics of the present invention, and without departing from the spirit and scope thereof, can make various changes and modifications of the invention to adapt it to various usages and conditions. Accordingly, other embodiments are also within the scope of the following claims.